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PTO/SB/30 (10/2001)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/634,435
Filing Date	August 8, 2000
First Named Inventor	Hu
Art Unit	2165
Examiner Name	Garg, Y.
Attorney Docket Number	JA999802

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 2002.

1. **Submission required under 37 CFR §1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☐ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other Preliminary Amendment

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2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(l) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 09/0469 (IBM) (Filing Fee \$740.00)

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

e. 04/08/2002 BNGUYEN1 00000022 090469 09634435

01 EC-179 740.00 CH

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)

Michael F. Hoffman

Registration No. (Attorney / Agent)

40,019

Signature

*Michael F. Hoffman*

Date

March 25, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)

Dorothea Rubbone

Signature

*Dorothea Rubbone*

Date

March 25, 2002

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
JA999802

In Re Application Of: Shiann-Jong Hu

Serial No.  
09/634,435

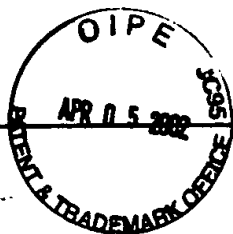
Filing Date  
August 8, 2000

Examiner  
Garg, Y.

Group Art Unit  
2165

Title: **METHOD AND SYSTEM FOR INTEGRATING CORE BANKING BUSINESS PROCESSES**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Request for Continued Examination (RCE) Transmittal  
Preliminary Amendment in 8 pages

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in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: March 25, 2002

Michael F. Hoffman  
Reg. No. 40,019  
Hoffman, Warnick & D'Alessandro  
Three E-Comm Square  
Albany, New York 12207

I certify that this document and fee is being deposited  
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Dorothea Rubbone

Typed or Printed Name of Person Mailing Correspondence

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